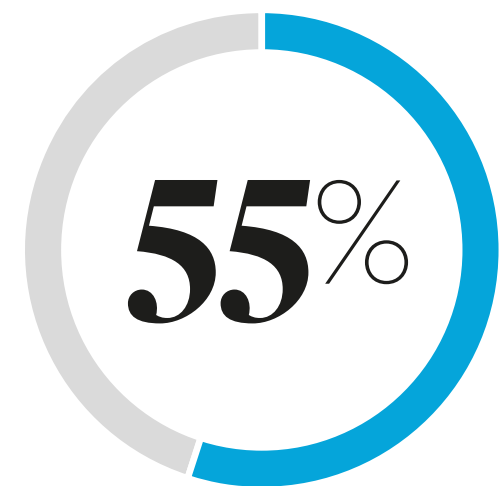
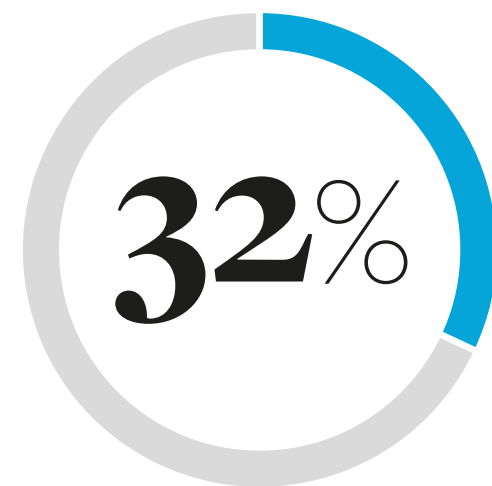


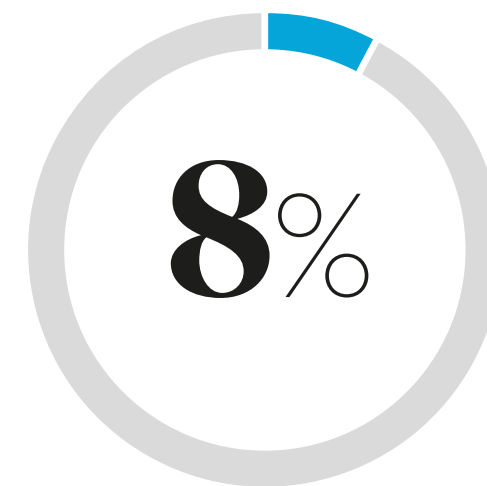
In the past 24 months, has your organization withheld or sought to recoup compensation from employees who engage in misconduct or who fail to supervise others who engage in misconduct?*



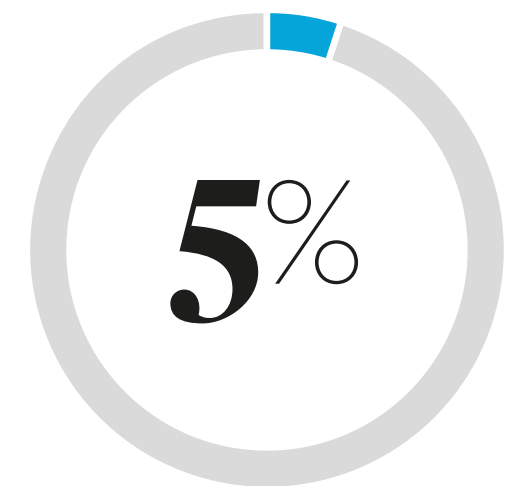
No



Yes—not in
connection with
an investigation
by enforcement
authorities



Yes—in connection
with an investigation
by enforcement
authorities



Don't know